

**Data for every month ending – APRIL 2023**

| Sr No. | Received from           | Carried forward from previous Month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|--------|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
|        |                         |                                     |                           |               |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1      | 2                       | 3                                   | 4                         | 5             | 6         | 7                                 |                                | 8                                  |
| 1      | Directly from Investors | 0                                   | 10                        | 0             | 10        | 0                                 |                                | 1                                  |
| 2      | SEBI (SCORES)           | 0                                   | 1                         | 0             | 1         | 0                                 |                                | 4                                  |
| 3      | Stock Exchanges         | 0                                   | 0                         | 0             | 0         | 0                                 |                                | 0                                  |
| 4      | Other Sources (if any)  | 0                                   | 0                         | 0             | 0         | 0                                 |                                | 0                                  |
| 5      | <b>Grand Total</b>      | <b>0</b>                            | <b>11</b>                 | <b>0</b>      | <b>11</b> | <b>0</b>                          |                                | <b>2</b>                           |

\*Please note that above data consists for sum of all complaints against us where we are acting as an Stock Broker in stock market and debt market, Depository Participant , Research Analyst , Merchant Banker